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Straub & Pokotylo 788 Shrewsbury Avenue Tinton Falls, NJ 07724			NGUYEN, TRI V	
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1                   UNITED STATES PATENT AND TRADEMARK OFFICE  
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4                   BEFORE THE BOARD OF PATENT APPEALS  
5                   AND INTERFERENCES  
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8                   *Ex parte* ANDREW FIKES,  
9                   ROSS KONINGSTEIN,  
10                   and  
11                   JOHN BAUER  
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14                   Appeal 2009-0198  
15                   Application 10/676,195  
16                   Technology Center 1700  
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19                   Decided:<sup>1</sup> March 31, 2009  
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22                   *Before* MURRIEL E. CRAWFORD, ANTON W. FETTING, and JOSEPH  
23                   A. FISCHETTI, *Administrative Patent Judges*.

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25                   MURRIEL E. CRAWFORD, *Administrative Patent Judge*.  
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28                   DECISION ON APPEAL

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<sup>1</sup>The two-month time period for filing an appeal or commencing a civil action, as recited in 37 C.F.R. § 1.304, begins to run from the decided date shown on this page of the decision. The time period does not run from the Mail Date (paper delivery) or Notification Date (electronic delivery).

## STATEMENT OF THE CASE

2 Appellants appeal under 35 U.S.C. § 134 (2002) from a final rejection  
3 of claims 2 to 6, 8 to 15, 17 to 28 and 30. We have jurisdiction under 35  
4 U.S.C. § 6(b) (2002).

5 Appellants invented a system and method for automatically targeting  
6 web-based advertisements (Specification 1).

7 Claim 2 under appeal reads as follows:

2. A system for automatically targeting Web-based advertisements, comprising:
  - an indexer to identify advertisements relative to a query, wherein identified advertisements describe characteristics relative to at least one of a product and a service;
  - a scorer to score the advertisements according to match between the query and the characteristics of the identified advertisements; and
  - a targeting component to provide at least some of the advertisements as Web-based content, wherein a numerical score is assigned to the identified advertisements based on a degree of the match.

4 The Examiner rejected claims 2 to 5, 8 to 15, 17 to 19, 21 to 28 and 30  
5 under 35 U.S.C. § 102(e) as being anticipated by Radwin.

6 The Examiner rejected claims 6 and 20 under 35 U.S.C. § 103(a) as  
7 being unpatentable over Radwin.

8 The prior art relied upon by the Examiner in rejecting the claims on  
9 appeal is:

9 Radwin US 2003/0050863 Mar. 13, 2003

## ISSUES

2 Have Appellants shown that the Examiner erred in finding that  
3 Radwin discloses a scorer to score advertisements according to the match  
4 between the query and the characteristics of the identified advertisements  
5 wherein a numerical score is assigned to the identified advertisement based  
6 on a degree of the match?

7 Have the Appellants shown that the Examiner erred in finding that  
8 Radwin discloses a selector to select at least some of an ordered identified  
9 advertisements relative to a ranking cutoff?

10 Have the Appellants shown that the Examiner erred in finding that  
11 Radwin suggests a filter to filter advertisement based on demographic  
12 information?

## FINDINGS OF FACT

15 Appellants' Specification discloses a system and method for  
16 automatically targeting Web-based advertisements which includes a scorer  
17 to score the advertisements according to a match between the query and the  
18 characteristics of the advertisement (Specification 9). The score is based on  
19 a degree of match between the query and the characteristics of the  
20 advertisement (Specification 9). The match measures the closeness of fit  
21 between the query terms 40 and one or more category names 51 of products  
22 and services to arrive at advertising results 43 (Specification 10). A filter  
23 prunes the results 43 by applying classification factors such as country,  
24 locale, language, daily budget, and other factors in the query 39 to the  
25 information and characteristics associated with each advertisement result 43.  
26 After that, a ranker 38 applies selection criteria to the advertising results 43

1 remaining against a predefined scoring threshold and applies a ranking  
2 cutoff to determine the advertising results that are acceptable in terms of  
3 costs (Specification 10).

4 Radwin discloses a system and method for automatically targeting  
5 Web-based advertisements that matches a keyword in a query to  
6 advertisements in an advertisement repository 20 [0026]. The advertisement  
7 repository 20 has an hierarchical structure [0040]. As disclosed in Figure 5,  
8 the advertisement repository is organized so that each advertisement 44 is  
9 given a number 40 and an ad type 41. An editorial staff may add a keyword  
10 flag 45 for immediate presentation or an advertisement weighting value 47  
11 [0040]. The weighting value 47 is a value set to indicate how valuable  
12 and/or relevant a particular advertisement is relative to other advertisements  
13 [0040]. The weighting value 47 is set in accordance with an advertisement  
14 agreement as well as the experience and suggestions from the respective  
15 advertisers [0040]. As shown in Figure 5, advertisement A<sub>1</sub> has an ad type  
16 of apparel, no keyword flag and a weighting value of 2 whereas  
17 advertisement A<sub>n</sub> has no keyword flag and a weighting value of 9 and thus  
18 may have a higher probability of being shown than A<sub>1</sub> [0041]. An advertiser  
19 may also have an arrangement that guarantees an amount of impressions per  
20 period of time [0041].

21 Radwin does not disclose giving a numerical score to an  
22 advertisement based on a degree of match between a query and the  
23 characteristics of the advertisement. While Figure 5 of Radwin discloses a  
24 score of sorts based on the weighting value and keyword flags, this score is  
25 not based on a degree of match between the query and the advertisement  
26 characteristics but instead based on an agreement made by the advertiser.

1 Radwin does not disclose a selector to select some of ordered  
2 advertisements relative to a ranking cutoff. While Figure 5 may disclose a  
3 ranking of the advertisements based on the weighting value and the keyword  
4 flag, and an ordering of the advertisement, there is no disclosure of a cutoff  
5 related to the ranking of the advertisement.

6 Radwin discloses that using demographic characteristics such as age,  
7 income, sex, and occupation to target the presentation of advertisements to  
8 users is known but has drawbacks because users provide inaccurate  
9 information [0007-0008].

## PRINCIPLES OF LAW

12 To support a rejection of a claim under 35 U.S.C. § 102, it must be  
13 shown that each element of the claim is found, either expressly described or  
14 under principles of inherency, in a single prior art reference. *See Kalman v.*  
15 *Kimberly-Clark Corp.*, 713 F.2d 760, 772 (Fed. Cir. 1983), *cert. denied*, 465  
16 U.S. 1026 (1984).

17 A reference may be said to teach away from the invention if the  
18 reference criticizes, discredits, or otherwise discourages modifying a  
19 reference to arrive at the claimed invention. *See In re Fulton*, 391 F.3d  
20 1195, 1201 (Fed. Cir. 2004).

## ANALYSIS

### *Anticipation*

24 We will not sustain the Examiner's rejection of claim 2. We agree  
25 with the Appellants that Radwin does not disclose a numerical score  
26 assigned to an identified advertisement based on a degree of match. Rather,

1 any score given to the advertisements relates to a keyword flag or weighting  
2 values which relate to prior agreements between the advertiser and the  
3 system. As such, we will not sustain the Examiner's rejection of claim 2 and  
4 claims 3 to 5 and 12 to 14 dependent thereon.

5 We will also not sustain this rejection as it is directed to claim 8  
6 because Radwin does not disclose a cutoff for the presentation of the  
7 advertisements based on the ranking of the advertisement. Although, the  
8 Examiner is correct that a cutoff is employed in the Radwin reference in that  
9 not all of the advertisements are shown, there is no disclosure in Radwin that  
10 this cutoff is a ranking cutoff. As such, we will not sustain the Examiner's  
11 rejection of claim 8 and claims 9 to 11 dependent thereon.

12 We will also not sustain this rejection as it is directed to claims 15 and  
13 30 and claims 17 to 19 and 21 to 28 dependent thereon because claims  
14 15 and 30 both recite subject matter related to the scoring of advertisements  
15 according to a degree of match between the query and characteristics of the  
16 advertisements that we found missing in Radwin.

17 Obviousness

18 We will sustain the Examiner's rejection of claim 6 because Radwin  
19 discloses that it was known to filter advertisement based on demographic  
20 information. The disclosure that users may input inaccurate information  
21 thereby making the demographic filtering based on underlying assumptions  
22 that are not accurate merely makes the reader aware of the limitations and  
23 that frequently those limitations may be sufficient to weigh against the  
24 advantages. In our view, this is not a teaching away. A known or obvious

1 element does not become patentable simply because it has been described as  
2 somewhat inferior to some other product for the same use. *See In re Gurley*,  
3 27 F.3d 551, 554 (Fed. Cir. 1994).

4 We will not sustain this rejection as it is directed to claim 20 because  
5 claim 20 is dependent on claim 15 which includes the scoring of  
6 advertisements according to the degree of match that we found lacking in  
7 Radwin.

8

9 **CONCLUSION OF LAW/DECISION**

10 On the record before us, Appellants have shown that the Examiner  
11 erred in rejecting claims 2 to 5, 8 to 15, 17 to 19, 21 to 28 and 30 under 35  
12 U.S.C. § 102(e) as being anticipated by Radwin, and claim 20 under 35  
13 U.S.C. § 103 as being unpatentable over Radwin. Appellants have not  
14 shown that the Examiner erred in rejecting claim 6 under 35 U.S.C. § 103 as  
15 being unpatentable over Radwin.

16 The Examiner's rejection of claims 2 to 5, 8 to 15, 17 to 28 and 30 is  
17 not sustained. The Examiner's rejection of claim 6 is sustained.

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19 **AFFIRMED-IN-PART**

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